



3624

PATENT

36  
10/21/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ronald E. Sloan

Serial No.: 09/580,273

Filed: May 25, 2000

Title: SYSTEM, METHOD AND ARTICLE OF  
MANUFACTURE FOR FORECASTING  
AND STRESS TESTING USING A  
NETWORK-BASED PERSONAL  
INVESTMENT MANAGER

Examiner: K. Campen

Art Unit: 3624

Docket No.: 60021-356901

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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correspondence is being transmitted by facsimile to  
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703-872-9306 on September 23, 2003.

Ann Pommier  
Ann Pommier

**RESPONSE TO ELECTION/RESTRICTION REQUIREMENT**

Dear Sir:

In the present application, an Office Action was mailed on September 8, 2003, which included a restriction requirements under 35 U.S.C. 121. Specifically, claims 8-12 were alleged to be directed to a code segment, while claims 13-18 were alleged to be directed toward logic for generating the forecast, and claims 1-6 were alleged to be directed toward a step of generating the forecast and stress analysis.

In response to this Office Action, Applicant selects the following species:

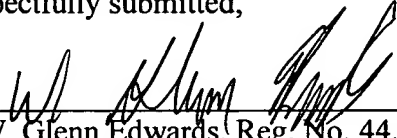
- 1) Code Segment: Applicant elects claim 10. Applicant asserts that contrary to the Examiner's statement, claim 7 is generic.
- 2) Logic: Applicant elects claim 16. Applicant asserts that contrary to the Examiner's statement, claim 13 is generic.
- 3) Step of Generation: Applicant elects claim 4. Applicant asserts that contrary to the Examiner's statement, claim 1 is generic.

REMARKS

However, should further issues or questions arise, the Examiner is respectfully requested to contact W. Glenn Edwards at (612) 607-7278.

Respectfully submitted,

By

  
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W. Glenn Edwards, Reg. No. 44,426  
Oppenheimer Wolff & Donnelly LLP  
Suite 3300, 45 South Seventh Street  
Telephone: (612) 607-7278  
Facsimile: (612) 607-7100  
Email: WEdwards@oppenheimer.com

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